

# chaotic field theory time reversal

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[ChaosBook.org/overheads/spatiotemporal](https://ChaosBook.org/overheads/spatiotemporal)  
→ Chaotic field theory slides

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## chaotic / turbulent field theory?

herding cats audience reviews:<sup>1</sup>

Arnd Bäcker:

"even faster than Tomaz Prosen"

Martina Hentschel:

"Amazing :)"

Arnd Bäcker:

"... maybe I even understood  
a single word..."



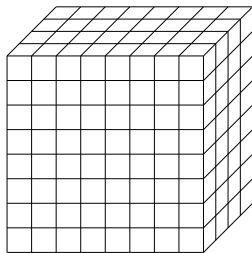
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<sup>1</sup> the limiting speed for transfer of quantum-chaotic information is 1 Prosen.

## Euclidean lattice field theory

**scalar field**  $\phi(x)$

evaluated on lattice points

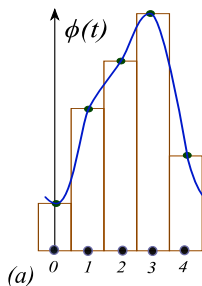


$$\begin{aligned}\phi_z &= \phi(x) \\ x &= a z = \text{lattice point} \\ z &\in \mathbb{Z}^d\end{aligned}$$

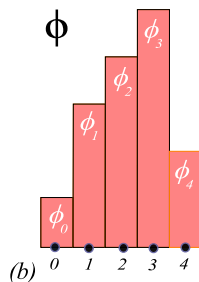
a periodic point per each unit cell

## Discretization of a 1d field theory

scalar field  $\phi(x)$  evaluated on lattice points



periodic field  $\phi(t)$   
is a function of  
continuous coordinate  $t$



corresponding discretized  
period-5 lattice state  
 $X = \overline{\phi_0\phi_1\phi_2\phi_3\phi_4}$ ,

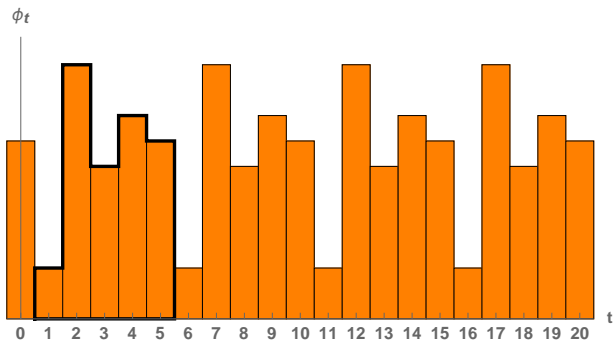
Horizontal:  $t$  coordinate, lattice sites marked by  
dots, labelled by  $t \in \mathbb{Z}$

the value of the discretized field  $\phi_t \in \mathbb{R}$  is plotted as  
a bar centred at lattice site  $t$

## 1-dimensional lattice field theory

write a periodic field over  $n$ -sites Bravais cell as the **lattice state** and the **symbol block** (sources)

$$X = (\phi_{t+1}, \dots, \phi_{t+n}), \quad M = (m_{t+1}, \dots, m_{t+n})$$



'M' for 'marching orders' : come here, then go there, ...

## field theory is defined by its action

### field theory

field configuration  $X$  occurs with probability

$$p(X) = \frac{1}{Z} e^{-S[X]}, \quad Z = Z[0]$$

partition function = sum over all configurations

$$Z[M] = \int [d\phi] e^{-S[X]+X \cdot M}, \quad [d\phi] = \prod_z^{\mathcal{L}} \frac{d\phi_z}{\sqrt{2\pi}}$$

'source'  $M$

## example : Euclidean $\phi^4$ theory

### continuum action

$$S = \int dx^d \left\{ \frac{1}{2} \sum_{i=1}^d (\partial_\mu \phi(x))^2 + \frac{\mu^2}{2} \phi(x)^2 + \frac{g}{4!} \phi(x)^4 \right\}$$

### lattice action

$$S = \sum_{z,z'} \frac{1}{2} \left\{ \phi_z \left( -\square + \mu^2 \right)_{zz'} \phi_{z'} \right\} + \sum_z \frac{g}{4!} \phi_z^4.$$

in 'lattice units' :  $a = 1$

# QFT path integrals : semi-classical WKB quantization

a fractal set of saddles

TURBULENT Q.F.T. ?

## WKB backbone

classical field theory

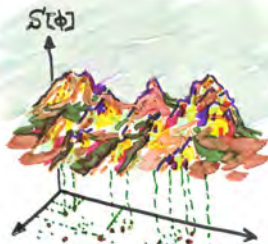
extremal condition  $\rightarrow$  eqs

$$\frac{\delta S[X]}{\delta \phi_z} = m_z$$

classical solution X

satisfies the local extremal condition on every lattice site

site

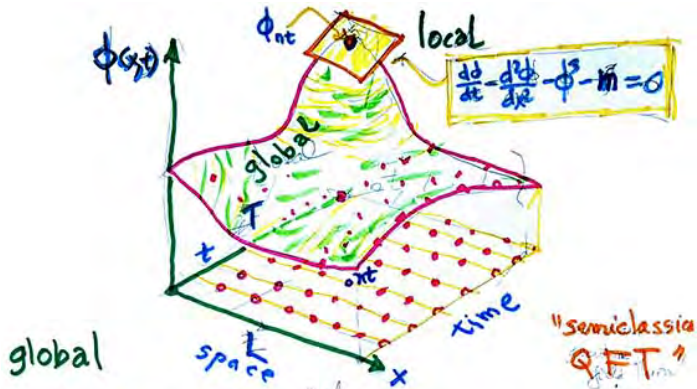


$$\langle \text{observable} \rangle = \sum_{\text{set}}^{\text{fractal}} \frac{e^{i S_n[\phi_c]/\hbar}}{\sqrt{\frac{\partial^2 S}{\partial \phi_i \partial \phi_j}}}$$

learn to **count** + weigh **unstable saddles**



think globally, act locally



fields  $\Phi = \{ \phi_{00}, \phi_{01}, \phi_{0T}, \phi_{10}, \phi_{11}, \dots, \phi_{LT}, \phi_{LT} \}$

sources  $M = \{ m_{00}, m_{01}, \dots, m_{LT}, m_{LT} \}$

*real*

*integral*

for each symbol array M, a periodic lattice state  $X_M$

## Laplacian discretization

discrete lattice

### Laplacian in 1 dimension

$$\phi_{t+1} - 2\phi_t + \phi_{t-1} = \square \phi_t$$

so have an (anti)oscillator chain, known as

### $d = 1$ Klein-Gordon (or damped Poisson) equation

$$(-\square + \mu^2) \phi_t = m_t, \quad \mu^2 = s - 2$$

## examples : 1d lattice field theories

spatiotemporal lattice field theory

$$-\phi_{t+1} + V'[\phi_t] - \phi_{t-1} = m_t$$

spatiotemporal Bernoulli

$$-\phi_{t+1} + s\phi_t = m_t$$

spatiotemporal cat

$$-\phi_{t+1} + s\phi_t - \phi_{t-1} = m_t$$

spatiotemporal Hénon

$$-\phi_{t+1} + a\phi_t^2 - \phi_{t-1} = m_t$$

spatiotemporal  $\phi^4$  theory

$$-\phi_{t+1} + \frac{g}{3!}\phi_t^3 - \phi_{t-1} = m_t$$

## herding cats in $d$ spacetime dimensions : 'spatiotemporal cat'



# spatiotemporal cat : a strong coupling field theory

## spatiotemporal cat symmetries :

translations ◦ time-reversal ◦ spatial reflections

point-group of the square lattice:

rotations by  $\pi/2$

reflections across axes and diagonals,

$$D_4 = \{1, r, r^2, r^3, \sigma, \sigma_1, \sigma_2, \sigma_3\}.$$

international crystallographic notation<sup>3</sup>,  
the square lattice space group  $p4mm$ .

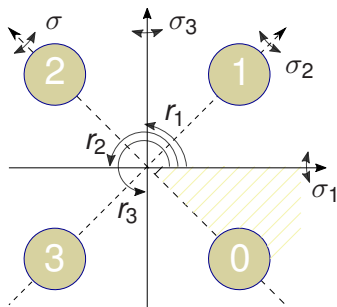
not a traditional  
spatially weakly coupled lattice model<sup>4</sup>

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<sup>3</sup>M. S. Dresselhaus et al., *Group Theory: Application to the Physics of Condensed Matter*, (Springer, New York, 2007).

<sup>4</sup>L. A. Bunimovich and Y. G. Sinai, *Nonlinearity* **1**, 491 (1988).

## symmetries of a square lattice unit cell



4 rotations  $r_j$ , 4 shift-reflections  $\sigma_k$  of dihedral group

$$D_4 = \{1, r, r^2, r^3, \sigma, \sigma_1, \sigma_2, \sigma_3\}$$

overly the square onto itself.

They also tile it with the 8 copies  $\hat{\mathcal{M}}_\ell$  of the **fundamental domain** (the shaded wedge)

## retreat to : 1d lattice field theories

spatiotemporal cat

$$-\phi_{t+1} + s\phi_t - \phi_{t-1} = m_t$$

spatiotemporal Hénon

$$-\phi_{t+1} + a\phi_t^2 - \phi_{t-1} = m_t$$

spatiotemporal  $\phi^4$  theory

$$-\phi_{t+1} + \frac{g}{3!}\phi_t^3 - \phi_{t-1} = m_t$$

## orbit Jacobian (Hill, Hessian, ...) matrix

each lattice state has its own

$$\mathcal{J}[X] = \begin{pmatrix} s_0 & -1 & 0 & 0 & \cdots & 0 & 0 & -1 \\ -1 & s_1 & -1 & 0 & \cdots & 0 & 0 & 0 \\ 0 & -1 & s_2 & -1 & \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & -1 & s_{n-2} & -1 \\ -1 & 0 & 0 & 0 & \cdots & 0 & -1 & s_{n-1} \end{pmatrix},$$

stretching factor  $s_t = V''[\phi_t]$  is

function of the site field  $\phi_t$  for the given lattice state X

- 1 can compute Hill determinant  $\text{Det } \mathcal{J}$
- 2 Hill-Lindstedt-Poincaré :  
all calculations should be done on reciprocal lattice
- 3 toolbox : discrete Fourier transforms, irreps of  $D_n$



## Symmetries of 1-dimensional lattices

There are only two 1-dimensional space groups  $G$ :  
 $p1$  infinite cyclic group  $C_\infty$  of all lattice translations,

$$C_\infty = \{\dots, r_{-2}, r_{-1}, 1, r_1, r_2, r_3, \dots\}$$

$p1m$ , the infinite dihedral group  $D_\infty$  of all translations and reflections<sup>5</sup>,

$$D_\infty = \{\dots, r_{-2}, \sigma_{-2}, r_{-1}, \sigma_{-1}, 1, \sigma, r_1, \sigma_1, r_2, \sigma_2, \dots\}$$

group multiplication  $g_i g_j$

	$r_j$	$\sigma_j$
$r_i$	$r_{i+j}$	$\sigma_{j-i}$
$\sigma_i$	$\sigma_{i+j}$	$r_{j-i}$

either adds up translations,  
or shifts and then reverses their direction

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<sup>5</sup>Y.-O. Kim et al., Pacific J. Math. 209, 289–301 (2003).

## Symmetries of 1-dimensional Bravais sublattices

*Bravais cell* of period  $n$  : given by vector  $\mathbf{a}$  of length  $n$   
*Bravais sublattice* generated by translations

$$r_j \rightarrow r_{j\mathbf{a}}$$

symmetry : translation subgroup of  $C_\infty$

$$H_{\mathbf{a}} = \{ \cdots, r_{-2\mathbf{a}}, r_{-\mathbf{a}}, 1, r_{\mathbf{a}}, r_{2\mathbf{a}}, \cdots \},$$

and

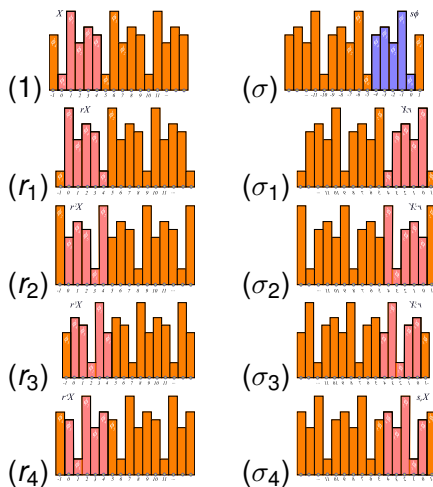
$$r_j \rightarrow r_{j\mathbf{a}}, \quad \sigma \rightarrow \sigma_k \quad 0 \leq k < n,$$

symmetry :  $n$  infinite dihedral subgroups of  $D_\infty$

$$H_{\mathbf{a},k} = \{ \cdots, r_{-2\mathbf{a}}, \sigma_k r_{-2\mathbf{a}}, r_{-\mathbf{a}}, \sigma_k r_{-\mathbf{a}}, 1, \sigma_k, r_{\mathbf{a}}, \sigma_k r_{\mathbf{a}}, r_{2\mathbf{a}}, \sigma_k r_{2\mathbf{a}}, \cdots \},$$

Bravais cell of period  $n$ ,  
with reflection across a symmetry point shifted  $k$  1/2 steps

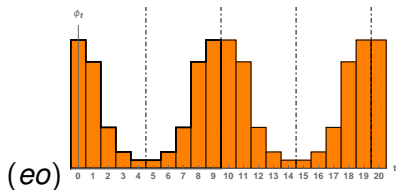
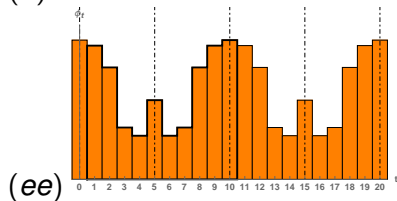
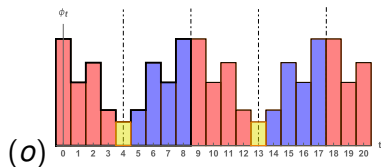
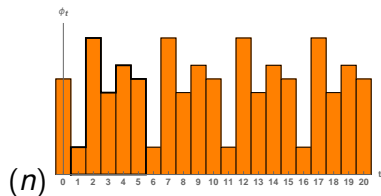
## $D_\infty$ orbit of a generic lattice state



lattice state  $X = \overline{\phi_0\phi_1\phi_2\phi_3\phi_4}$ , no reflection symmetry  
 translation group  $H_5$  invariant

$D_\infty$ -orbit is isomorphic to  $D_5$  : 10 distinct lattice states

## 4 kinds of Bravais lattice states



(n) *no reflection symmetry*:  $H_5$  invariant period-5 lattice state

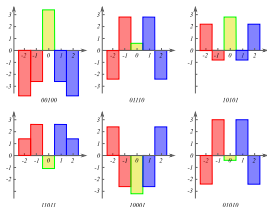
(o) *odd period, symmetric*: an  $H_{9,8}$  invariant period-9

(ee) *even period, even symmetric*:  $H_{10,0}$  invariant period-10

(eo) *even period, odd symmetric*:  $H_{10,9}$  invariant period-10

## example : 5-period Bravais lattice site, with reflection

scalar field  $\phi(x)$



temporal Hénon period-5  
lattice state  $\phi_{-2}\phi_{-1}\phi_0\phi_1\phi_2$

$$\phi_i = \phi_{i+5}, \quad \phi_{-i} = \phi_i.$$

reflection symmetric; fixed lattice field  $\phi_0$  colored gold

$$-S'[\phi_0] + 2\phi_1 = -m_0$$

$$\phi_0 - S'[\phi_1] + \phi_2 = -m_1$$

$$\phi_1 - S'[\phi_2] + \phi_2 = -m_2$$

with a 3-dimensional orbit Jacobian matrix

$$\mathcal{J} = \begin{pmatrix} s_0 & -2 & 0 \\ -1 & s_1 & -1 \\ 0 & 1 & s_2 - 1 \end{pmatrix}$$

## zeta functions unlike 1980's

periodic orbit theory, version (1) : counting lattice states<sup>6</sup>

### Lind zeta function

$$\zeta_{Lind}(t) = \exp \left( \sum_H \frac{N_H}{|G/H|} t^{|G/H|} \right)$$

sum is over all subgroups  $H$  of space group  $G$

$N_H$  is the number of fixed points of  $H$

$|G/H|$  is the number of states in  $H$  orbit

- 1 Lind zeta function counts group **orbits**, one per each set of equivalent lattice states

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<sup>6</sup>D. A. Lind, "A zeta function for  $Z^d$ -actions", in *Ergodic Theory of  $Z^d$  Actions*, edited by M. Pollicott and K. Schmidt (Cambridge Univ. Press, 1996), pp. 433–450.

## zeta functions unlike 1980's

periodic orbit theory, version (1) :

counting lattice states for reflection-symmetric systems<sup>7,8</sup>

### Kim-Lee-Park zeta function

$$\zeta_{\sigma}(t) = \sqrt{\zeta_{top}(t^2)} e^{h(t)},$$

where  $\zeta_{top}$  is the Artin-Mazur zeta function, and the counts of the 3 kinds of symmetric orbits are

$$h(t) = \sum_{m=1}^{\infty} \left\{ N_{2m-1,0} t^{2m-1} + (N_{2m,0} + N_{2m,1}) \frac{t^{2m}}{2} \right\}$$

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<sup>7</sup>M. Artin and B. Mazur, *Ann. Math.* **81**, 82–99 (1965).

<sup>8</sup>Y.-O. Kim et al., *Pacific J. Math.* **209**, 289–301 (2003).

## what we still do not understand today

- 1 solved so far only 1-dimensional spatiotemporal lattice, point group  $D_1$
- 2 should all time-reversal symmetric systems be analyzed this way ?
- 3 should all dynamical systems should be solved on reciprocal lattice ?
- 4 for 2-dimensional spatiotemporal chaotic field theory, still have to do this for square lattice point group  $D_4$
- 5 then, solve the problem of turbulence (Navier-Stokes, Yang-Mills, general relativity)



## time reversal

Fejér [4, 8] (1916) Fejér and Riesz lemma:  
every positive trigonometric polynomial can be represented by  
the square of the absolute value of another trigonometric  
polynomial whose coefficients are, in general, complex

## factoring the orbit Jacobian matrix

$$\mathcal{J} = \square - \mu^2 \mathbf{1} = (r^{-1} - 1)(r - 1) - \mu^2 \mathbf{1},$$

where

$$\mu = \sqrt{s - 2}.$$

is the Yukawa mass parameter

centered, reflection (anti)symmetric difference operators

$$\begin{aligned}\tilde{\partial} &= \tilde{r} - \tilde{r}^{-1}, & \tilde{r} &= r^{1/2} \\ &= -\tilde{\partial}^{\top},\end{aligned}$$

interpolate 1/2-unit spacing lattice  $\tilde{\mathcal{L}}$  points between the integer lattice  $\mathcal{L}$  points

$\tilde{r} = r^{1/2}$  is the shift operator on the 1/2 lattice spacing. So two applications of 1/2 lattice shift operator give you one full lattice spacing.

1/2-unit spacing lattice  $\tilde{\mathcal{L}}$  points between the integer lattice  $\mathcal{L}$  points, with the derivatives written as

$$\begin{aligned}
 (r - 1) &= \tilde{r}\tilde{\partial} \\
 (r^{-1} - 1)(r - 1) &= -\tilde{\partial}^2 = \square \\
 \mathcal{J} &= \square - \mu^2\mathbf{1} = \tilde{\mathcal{J}}^\top \tilde{\mathcal{J}} \\
 \tilde{\mathcal{J}} &= \tilde{\partial} - \mu\mathbf{1} = \tilde{r} - \mu\mathbf{1} - \tilde{r}^{-1} \\
 \tilde{\mathcal{J}}^\top &= \tilde{\partial} + \mu\mathbf{1} = \tilde{r} + \mu\mathbf{1} - \tilde{r}^{-1}
 \end{aligned} \tag{1}$$

## XXX

in the matrix form, the  $\mathcal{J} = \tilde{\mathcal{J}}^\top \tilde{\mathcal{J}}$  factorization can be checked by matrix multiplication

$$\mathcal{J} = \begin{pmatrix} -s & 0 & 1 & 0 & \dots & 1 & 0 \\ 0 & -s & 0 & 1 & \dots & 0 & 1 \\ 1 & 0 & -s & 0 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & \dots & 0 & -s & 0 & 1 \\ 1 & 0 & \dots & 1 & 0 & -s & 0 \\ 0 & 1 & \dots & 0 & 1 & 0 & -s \end{pmatrix}$$

$$\tilde{\mathcal{J}} = \begin{pmatrix} -\mu & -1 & 0 & 0 & \dots & 0 & 1 \\ 1 & -\mu & -1 & 0 & \dots & 0 & 0 \\ 0 & 1 & -\mu & -1 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & \dots & \dots & -\mu & -1 \\ -1 & 0 & \dots & \dots & \dots & 1 & -\mu \end{pmatrix},$$

where  $\mathcal{J}, \tilde{\mathcal{J}}^\top, \tilde{\mathcal{J}}$  act on the 1/2-unit spacing lattice  $\tilde{\mathcal{L}}$

## "Dirac" "square root"

the metal map takes a temporal lattice form

$$\tilde{\phi}_{t+1} - \mu \tilde{\phi}_t - \tilde{\phi}_{t-1} = -\tilde{m}_t,$$

or, in terms of a lattice state  $X$ , the corresponding symbol block'  $M$ , and the  $[n \times n]$  shift operator  $r$ ,

$$(\tilde{r} - \mu \mathbb{1} - \tilde{r}^{-1}) \tilde{X} = -\tilde{M},$$